



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
|--------------------|------------------------|-----------------------|------------------|
| 10/072425 | February 7, 2002 | Moser et al | |

Knobbe Martens Olson & Bear LLP
2040 Main Street
Fourteenth Floor
Irvine, Ca 92614

Date Mailed: April 18, 2006

DENIAL OF REQUEST FOR REFUND

Your refund request dated April 04, 2006 for the amount of \$745.00 in the above-identified application is acknowledged. However, this request cannot be granted for the reason specified below.

- ☒ Refund based upon subsequent establishment of small entity status: A refund based on establishment of small entity status may be granted only if a written assertion of entitlement to small entity status under 37 CFR 1.27 and a request for refund of the excess amount are filed within three months of payment and of the fee (37 CFR 1.28). Three-month period for establishing small entity status and requesting a refund has expired.
- ☐ Refund of application filing or petition fee: Filing fees paid for an application that is entitled to a filing date and required petition fees are not fees paid by mistake or in excess. If an application is not entitled to a filing date and proceedings are terminated on the application, any filing fees (less the \$130 handling fee) will be refunded (37 CFR 1.53(e)(3)).
- ☐ Refund of overpayment: There was no overpayment made by applicant. All fees were calculated and assessed properly.
- ☐ The payment for which the refund is requested has not been applied to the application. The payment check was returned to applicant for the following reason:
- ☐ Not filled out properly. (See attached copy of notice.)
 - ☐ Not made payable in U.S. funds.
 - ☐ No explanation was given as to purpose.
 - ☐ Payment was previously received and applied by Office.
 - ☐ Check was returned by bank for insufficient funds.

****Any request for reconsideration or review of this decision must be by way of a petition filed within two months of this decision, which decision must set forth with particularity why a refund is due (see 37 CFR 1.181(b) and (f)).**

Please contact Customer Service at (571) 272-4000 for any inquiries regarding this decision.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

Eleanor F. Kurtz

Eleanor F. Kurtz
Office of Initial Patent Examination

BEST AVAILABLE COPY

DECLE55.1C2CDI- DIVISION

Customer No. 20,995

2006 APR -4 PM **BETTER REQUESTING REFUND**

Applicant : **Moscow**
Appl. No. : **US PATENT & TRADEMARK OFFICE 2,425**
Filed : February 7, 2002
For : **DENDRITIC-LIKE CELL/TUMOR
CELL HYBRIDS AND HYBRIDOMAS
FOR INDUCING AN ANTI-TUMOR
RESPONSE**
Examiner : **Ewoldt, Gerald R.**
Group Art Unit : **1644**

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on

March 29, 2006

(Date)

Che S. Chereskin

Che Swyden Chereskin, Ph.D., Reg. No. 41,466

Mail Stop 16

Director, U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

BEST AVAILABLE COPY

Dear Sir:

Fees based on large entity status were submitted throughout the prosecution of this application, commencing with the original filing on February 7, 2002. The application qualified for small entity status under 37 C.F.R. § 1.27 during its pendency. Applicant requests a refund of one-half of the fees paid, as calculated below. Please credit our Deposit Account No. 11-1410.

| | Paid | Refund |
|--|----------------|--------------|
| Basic Fee (02/07/02) | \$740 | \$370 |
| Total Claims (57 - 20 x \$18) | \$666 | \$333 |
| Independent Claims (4 - 3 x \$84) | \$84 | \$42 |
| Petition to Make Special (09/19/02) | \$130 | \$0 |
| Petition for Corrected Filing Receipt (09/24/02) | \$130 | \$0 |
| Notice of Appeal (03/07/06) (filed as small entity) | \$250 | \$0 |
| 3-month Extension (03/07/06) (filed as small entity) | \$510 | \$0 |
| TOTAL | \$2,510 | \$745 |

DECLE55.1C2CDT PATENT MAINTENANCE DIVISION

Customer No. 20,995

2006 APR -4 PM 2:22 **BETTER REQUESTING REFUND**

Applicant : **Moseley, Mark**
Appl. No. : **US PATENT & TRADEMARK OFFICE 02/06 2,425**
Filed : February 7, 2002
For : **DENDRITIC-LIKE CELL/TUMOR CELL HYBRIDS AND HYBRIDOMAS FOR INDUCING AN ANTI-TUMOR RESPONSE**
Examiner : **Ewoldt, Gerald R.**
Group Art Unit : **1644**

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on

March 29, 2006

(Date)

Che S. Chereskin

Che Swyden Chereskin, Ph.D., Reg. No. 41,466

Mail Stop 16

Director, U.S. Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450 **BEST AVAILABLE COPY**

Dear Sir:

Fees based on large entity status were submitted throughout the prosecution of this application, commencing with the original filing on February 7, 2002. The application qualified for small entity status under 37 C.F.R. § 1.27 during its pendency. Applicant requests a refund of one-half of the fees paid, as calculated below. Please credit our Deposit Account No. 11-1410.

| | Paid | Refund |
|--|----------------|--------------|
| Basic Fee (02/07/02) | \$740 | \$370 |
| Total Claims (57 - 20 x \$18) | \$666 | \$333 |
| Independent Claims (4 - 3 x \$84) | \$84 | \$42 |
| Petition to Make Special (09/19/02) | \$130 | \$0 |
| Petition for Corrected Filing Receipt (09/24/02) | \$130 | \$0 |
| Notice of Appeal (03/07/06) (filed as small entity) | \$250 | \$0 |
| 3-month Extension (03/07/06) (filed as small entity) | \$510 | \$0 |
| TOTAL | \$2,510 | \$745 |

Appl. No. : 10/072,425
Filed : February 7, 2002

Please direct all future correspondence to Customer No. 20,995.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: Mar 29, 2006

By: Che S. Chereskin

Che Swyden Chereskin, Ph.D.
Registration No. 41,466
Agent of Record
Customer No. 20,995
(949) 760-0404

2481774
032806

BEST AVAILABLE COPY

Appl. No. : 10/072,425
Filed : February 7, 2002

Please direct all future correspondence to Customer No. 20,995.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: Mar 29, 2006

By:

Che S. Chereskin

Che Swyden Chereskin, Ph.D.

Registration No. 41,466

Agent of Record

Customer No. 20,995

(949) 760-0404

2481774
032806

BEST AVAILABLE COPY